**Cultivating LTC Leaders:** Topics in Regulatory, Legal and Compliance Management

**Series Title:** Cultivating LTC Leaders (12-month series)

**Session Title:** SNF Compliance & Ethics Program-- Essentials of the Facility Risk Assessment and Work Plan

**Presentation Dates:** March 2021

**Presented By: (see attached bios)**

* Shelly Maffia MSN, MBA, RN, LNHA, QCP, CHC, CLNC
* Amie Martin, OTR/L, CHC, RAC-CT, MJ

**Contact Person:** Shelly Maffia smaffia@proactivemedicalreview.com 812-471-7777 Proactive Medical Review and Consulting, LLC

**Course Description:**

This session reviews key components of an effective SNF Compliance & Ethics Program with emphasis on conducting a facility Risk Assessment to drive development of the facility-specific Compliance Work Plan. A sample Risk Assessment template will be provided, as well as examples of prioritizing facility-specific risks and establishing targeted work plan goals and program activities.   New and seasoned leaders will develop skill in operationalizing critical Risk Assessment and Work Plan development steps as part of the SNF Compliance & Ethics Program.

**Learning Objectives**

At the conclusion of this session, the learner will:

1. Identify and assess facility effectiveness in achieving successful implementation of key components of a SNF Compliance & Ethics Program
2. Understand key considerations and categories of risk for SNF/NFs and develop ability to objectively quantify facility risk in these areas.
3. Apply strategies for prioritizing facility risk to develop a reasonable SNF Compliance and Ethics Program work plan

**Course Content**

15 minutes Overview of SNF Compliance & Ethics Program requirements and considerations for self-assessment of facility performance in each area

15 minutes Examine key considerations and categories of risk and methodology for conducting a formal Risk Assessment

15 minutes Review best practices for development of the SNF Compliance & Ethics Program Work Plan with case examples including sample work plan goals and program activities

10 minutes Closing Comments/ Q&A

**Target Audience:** Nursing Facility Leadership

**Instructional Level:** Intermediate

**Contact Hours:** 1